## Chapter II

## Literature Review

### 2.1 Literature Review of Negative Resists

Because of a high sensitivity of negative resists, much work on negative resists has been done. They could be classified into electron-beam, X-ray, and photosensitive resist due to the energy exposure.

## 2.1.1 Electron-beam Sensitive Negative Resists

Though many classes of compounds were examined in the past as negative electron-beam resists [8], recent work was concentrated on three major categories : epoxy group containing polymers, polymers with pendant unsaturation, and vinyl aromatic polymers. It has generally been shown that in the epoxy group containing polymer class, the placement of epoxy group as side chains of the polymer, as opposed to in the backbone, results in marked improvement in the contrast of the resist. Nonogaki.S and et al [9] synthesized the epoxide containing polybutadiene by epoxidation of polybutadiene (1). They had high sensitivity, but low contrast (lower than 1). As a consequence of this, current efforts in this area are directed toward synthesizing and evaluating polymers prepared from glycidyl ester monomers. Hamermesh and Crane [10] attempted to prepare poly(ethylacrylate-co-glycidylmethylacrylate), which was known under the trade name "COP"(2). Thomson and co-worker

[11.12] tried to solve the swelling problem of this copolymer by copolymerization of glycidylmethylacrylate (GMA) with each  $\overline{\text{d}}$ the following monomers, i.e., styrene (3), phenylmethacrylate(4), and 3-chlorostyrene (5). It was found that swelling was reduced, while contrast, resolution, thermal stability and etching resistance was better than that of COP. But sensitivity of these copolymers were decreased comparing to the one of COP, because of the presence of the phenyl ring. They also studied deeply in the spinning solvent used for the final wafer preparation. However, copolymerization in cellosolve acetate led to inhomogeneous polymer mixtures of two components, one with more ethyl acrylate and the other with more glycidyl methacrylate content.

$$
+CH_{2}-CH=CH-CH_{2}+n^{1}CH_{2}-CH-CH_{2}+CH_{2}+CH_{2}-C-+n^{1}CH_{2}C-+n^{1}CH_{2}-C-+n^{1}CH_{2}C-+n^{1}CH_{2}C-1^{1}CH
$$

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+CH_{2}C\frac{P_{12}}{P_{11}}CH_{2}C\frac{CH_{3}}{P_{12}}
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+CH_{2}C\frac{CH_{2}}{P_{11}}CH_{2}C\frac{CH_{3}}{P_{12}}
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R_{1} = \bigcirc
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\n(3)  $R_{1} = \bigcirc$   
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R_{1} = \bigcirc
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R_{2} = -CH_{3}
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\n(5)  $R_{1} = \bigcirc$   
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R_{1} = \bigcirc
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R_{2} = -CH_{3}
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\n(6)  $R_{2} = -H$ 

et al [13] attempted to characterize the resist Feit response of GMA homopolymer and copolymers with ethyl acrylate  $(EA)$ . This has been successful features for 5  $\mu$ m, and these parameters could be related to the molecular properties of the polymers. At lower feature sizes, however, other factors like solvent-induced swelling of images, wetting properties of developer and polymer and gel-rupture by forced development into play. Thomson and co-workers [14] at Bell came Laboratories examined copolymers of GMA and glycidylacrylate (GA) with styrene (6) and phenyl methacrylate (7) as negative electron resist materials. These copolymers had sufficient sensitivities for application and, in general, GA copolymers were more sensitive than the corresponding GMA copolymers. All these materials also showed better thermal stability than the GMA-GA copolymers.



In the case of ethylenically unsaturated polymers, it again been established that when the unsaturation is in has the side chain of the polymer, the sensitivities of the resist better than when they are in the backbone. One such are polymer that has recieved wide spread attention is poly (diallyl-orthophthalate)(PDOP) (8), which is good thermal stability [15]. Recently, Yoneda et al [16] had optimized molecular weight and dispersity of this material for making

high-resolution patterns. Vertical wall profile with 1 um line widths were obtained with sensitivities of 4x10<sup>-6</sup> C/cm<sup>2</sup> at 15 kV. This material showed acceptable fluorocarbon sputter etching resistance.



Cole and his associates at General Electric Co. had reported [17] highly sensitive negative resists prepared as alkenyl half-ester from copolymer of vinyl ethers and maleic anhydride (9). Sensitivities in the order of 10<sup>-8</sup> C/cm<sup>2</sup> at 9kV were reached. The importance of the ether group was evident in these polymers, although their exact function had not yet been established. Despite their high sensitivity, the storage stabilities of these resists were quite good.

Vinyl aromatic polymers have been widely studied as negative electron resist. The simplest polymer of the rather large group is polystyrene and, although it has several disadvantages, it is one of the early candidates in electron-beam lithographic applications. It has excellent processing properties but has a very low sensitivity  $(2x10^{-4}$  C/cm<sup>2</sup>). Lai and Shephered [18] had recently examined the effect of the molecular weight and its distribution on the performance of polystyrene as an electron resist. Their researches showed that the sensitivity increased with molecular weight and narrow dispersity gave improved contrast. Line widths <1.0µm had been

obtained with this negative resist. Sensitivities of 1x10<sup>-8</sup> C/cm<sup>2</sup> had been reported, and very good plasma etching resistance had been observed.

The rather poor sensitivity of polystyrene and yet its good processibility and ready availability at a low price have prompted significant research in chemically improving its susceptibility to crosslink by electron-beam exposure. The strategy by which this problem is being commonly addressed is introducing electron-sensitive substituents into the phenyl rings. Halogenated of the phenyl rings has this effect, and the use of iodinated polystyrene for this propose has recently been described [19]. Researchers at Hitachi Ltd. had prepared iodinated polystyrene by direct iodination of polystyrene and found the sensitivity of the polymer to be increased through this process. The resist would not crosslink in a vacuum after exposure, indicating a short lifetime of the active species produced by the irradiation. It was ion-millable and showed good thermal stability.

Feit and Stillwagon [20] had prepared a chlorinated polystyrene with narrow molecular weight distribution and had observed that at 70 % chlorination, the material was 5.4 times more sensitive than polystyrene. As in the case of polystyrene, the contrast was very sensitive to the dispersity of the polymer and the latter is broadened by the chlorination process.

Imamura . S [21] studied chloromethylated polystyrene (CMPS) which prepared by chloromethylation of polystyrene. It

showed that the presence of a C-Cl bond (20 % by weight of · chlorine) increased the sensitivity. As expected for negative resist, the sensitivity increased with increasing molecular weight, while the contrast increased with decreasing molecular weight distribution. The resolution observed was about 0.3  $\mu$ m and the plasma etching resistance was 40 A/min. Recently, Choong H.S. thoroughly examined poly (chloromethylstyrene) (PCMS) (10), whose lithographic properties were better than those of CMPS. Also its copolymer with styrene (11) improved the contrast and etching resistance because of the presence of phenyl ring.



 $(11)$ 

Other vinyl aromatic polymers had been examined by Jagt and Sevrien [22], e.g., poly(vinyl-carbazole)(PVK)(12) and poly(3-bromo-N-vinyl carbazole), like polystyrene(PS), had a high contrastand dry etching resistance properties, but the sensitivities were not sufficiently high unless molecular weights were very high. The bromo-derivative, however, has a much higher sensitivity (10<sup>-6</sup> C/cm<sup>2</sup>). Experiments also showed that the addition of organic bisazides, e.g., 4,4diazidostilbene, to polystyrene or poly(vinylcarbazole) was

effective in increasing the sensitivity of these resists tenfold, with no loss of contrast.

 $a<sub>l</sub>$ [23] had synthesized a poly Gazard et b (methylmethacrylate) (PMMA) which was grafted with acrylic acid. It formed a negative system on development with solvents for PMMA. Sensitivities as high as 2.8x10<sup>-8</sup> C/cm<sup>2</sup> at 20 kV have been reported for this process.



 $(12)$ 

 $(13)$ 

## 2.1.2 X-ray Sensitive Negative Resist

Taylor et al [24] examined chlorine-containing acrylate homopolymers as resists for X-ray exposure at 3.5 mJ/cm<sup>2</sup> flux  $(1C/cm<sup>2</sup>$  at 1 kV =  $10<sup>6</sup>$ mJ/cm<sup>2</sup>). Highly sensitive materials, requiring less than a minute of exposure, had been found, but these showed rather low contrast, attributed to competitive intramolecular cross-linking reactions. Optimum properties were obtained for poly(2,3-dichloro-1-propylacrylate)(13), which also showed excellent stability. Lines and spaces of  $1 \mu m$ could be obtained with sensitivity of 7 mJ/cm<sup>2</sup>.

Several polyvinyl ethers have been reported as X-ray resists [25]. These polymers are prepared by the cationic polymerization method, and the exposures have been done at 5.4 A.

The polymers with chlorine or vinyloxy group containing side chains gave good sensitivity  $(218 \text{ mJ/cm}^2)$ , while those with chlorine also showed good contrast  $(2.2)$ . The negative X-ray resists and their sensitivities were exhibited in Table 2.1

Table 2.1 Negative X-ray Resists



#### 2.1.3 Photosensitive Negative Resists

Optical lithographic methods are most important for the manufacture of integrated circuits on a worldwide basis. The photoresists used are mainly sensitive in the wavelength from 300-500 nm. Some important research works could be reviewed as follows.

The photochemical reaction between polyvinyl alcohol (PVA) (14) and chromate species had been widely used in imaging devices. Steihler, Duncalf and Dunn [26] did some of

early mechanistic work on this system and more recently the Branin and Fonger [27] had examined the photochemical process. Nice and Farlee [28] have studied the chromate ion reaction with PVA in aqueous solutions by UV and electron paramagnetic resonance spectroscopy. It was found that during irradiation. the charge-transfer absorptions of the chromate esters initiated the photochemical reaction. The results were compared with those of the previous mechanistic studies made on this system. A proposed stepwise was a reduction of the Cr species to Cr (III), which finally complexed with PVA.



The sensitization of chromated PVA to visible laser wavelengths had been investigated by Bloom and Burle [29]. They found that compounds that were used for sensitization were sodium fluorescein, 2,4,6-tritolylpyrilium tetrafluoroborate, 2,4-dinitro-1-naphthol, and methyl orange.

Slobodkin and co-workers [30] had examined the relative merits of different drying and curing modes of PVAdichromate compositions. Undesirable post-polymerization was found to appear to a large extent in infrared process.

Several chemically modified derivatives of PVA had been developed recently to prepare negative photoresists. Thus. Daly and Engebrech [31] had prepared copolymers of vinyl

cinnamate with vinyl naphthoate or vinyl-3-naphthyl acrylate (15) by coesterifying PVA1 with the appropriate acid chlorides. giving acid-resistant photoresists. After UV exposure and development, the remaining crosslinked polymer could withstand hot nitric acid "deep etch" conditions.

Polyvinyl ethers with side chain unsaturation had been synthesized by Biryukova et al [32] by polymerization of the corresponding monomers with a cationic initiator like BF<sub>2</sub>.  $C_{g}H_{g}OC_{g}H_{g}$ . These polymers gave photochemical crosslinking with benzoquinone-Michler's lactone and, as photoresists, resolution of 1 µm line widths were obtained. Koto and Nakane [33] had recently described cationically polymerized 2-vinyloxyethyl cinnamates (16) as a negative photoresist with greater sensitivity than commercially available polyvinyl cinnamate resists, and about twice as much sensitivity as the rubber-based resists. Its resolution was comparable to photoresist under the trade name "AZ-1350", which was remarkable for a negative photoresist. The material was also reported to be excellent in terms of adhesion, thermal stability, and resistance to pinhole formation.



 $(15)$ 

 $(16)$ 

Cyclized rubbers (Scheme 2.1) had been used extensively negative photoresist applications, and several commerin the cial materials of this class were available, e.g., KMER, KTFR, Waycoat IC and SC, and Dynamic CMR and Micro-G. The most common composition in this family is a cyclized form of polyisoprene and photoactive crosslinker, typically a bisazide, which photolizes to the highly reactive dinitrene.



Scheme 2.1 Cyclization of polyisoprene

Treushnikov et al [34] had carried out theoretical and experimental studies to explain the important contributing factors that affected the photo-sensitivity of cyclorubberbisazide system. It appeared from this work that, apart from more crosslink formations, parameters like the distribution of bisazide molecules in the film and the average number of the structural formation per polymer molecule capable of functioning as effective molecular network units were important. This research group had also examined [35] the effect of such factors as the method of solution preparation, solvent removal from cast film, storage time of prepared solution, and air versus vacuum exposure on the photo-sensitivity of cyclorubber-

2.6-bis(4-azidobenzal)cyclohexanone system, the changes in those materials which changes of sensitivity of drying temperatures might be attributed more to molecular conformation changes than to residual solvent. The effect of low-molecularweight solvent in cyclized rubber and polystyrene coating on the mobility of the nitrenes formed by photolysis had also been studied [36]. Coating containing stable microvoids did not require solvents for nitrene mobility and those that absorbed wafer inhibited this mobility by such absorption. Treushnikov and co-workers [37] found that the negative photoresist FN-11 and a bisazide showed the interesting property of increased sensitivity of the unexposed areas.  $light$ This **VAS** explained theoretically and experimentally by an oxygen-pumping mechanisms from the dark parts to the exposed parts. Exposure in air gave much lower resolutions than in an inert atmosphere the crosslinking reaction of dinitrene effectively  $where$ competed with the much faster oxygen-nitrene reaction.

Shimizu and Bird [38] had contributed significantly to understanding of the mechanism of photocuring of the cyclo $the$ rubber bisazide-system. At 100 °C, the oxidative crosslinking by polymerization of the cycloisoprene was predominant over the thermal crosslinking produced by the bisazide. Significant crosslinking of the resin inhibited further bisazide decomposition by cage effect of the rigid matrix.

A 43 % quantum yield was established for the simultacleavage of both the azide groups in the molecule of the neous bisazide, from the absorption of one photon of energy. The the azide decomposition were implicated products of in

inhibiting further bisazide decomposition by an energy transfer process. The chemical mechanism of crosslinking and reciprocity failure is evidenced by the appearance, on exposure, of a new absorption band at wavelength 410 nm. which was attributed to the bisamine crosslinking from comparison with the model compound, 2,6-bis(p-dimethylaminobenzal)cyclo $hexanone(17)$ . The reciprocity effects were explained by competitive reactions of the nitrene with oxygen and polymer, the first being greater than 10<sup>4</sup> times faster than the latter. The sensitivity can be significantly enhanced  $\mathbf{b}$ y inert atmosphere or rapid exposure, both of which cause a low equilibrium oxygen content in the exposed areas. The azide-to-nitrene reaction is not affected by the oxygen content, but the consumption of the nitrene, once formed, can be decreased by minimizing the oxygen in the film.

Cyclized cis-1, 4-polybutadiene has also been developed into a photoresist. This resist showed a higher sensitivity  $than$ cyclized polyisoprene and had good resolution properties  $(1.6)$  $\mu$ m). The polymer showed no resist flow up to 250 °C. decomposition temperature was higher than for  $and$ the polyisoprene. The polymer was prepared by cyclization of the monomer with organo-aluminum catalysts. The photoinitiator used was 2,6-bis (4-azidobenzal)cyclohexanone.

Naumova et al [39] had photocrosslinked an oligomeric 1,3-cyclohexadiene using 2,6-bis(4-azidobenzal)-4-methylcyclohexanone as a catalyst. Images of resolution 100-200 lines/mm been successfully made with this material for had photofabrication of optical screens and scales.

The bisazide system has been used to crosslink for nonpolymers to prepare negative photoresists in the recent rubber past. Thus, Akagi et al [40] had used a formulation based on polyvinyl pyrrolidone, polyacrylamide, and 4,4-diazidostilbene-2,2-disulfonic acid disodium salt (18) to prepare a reciprocitylow-failing photoresist, the photosensitivity of which decreased rapidly with decrease in the light intensity.





machanism involved generation of dinitrenes from the The bisazide, which crosslinked the resin. As the light intensity lower than the presence of oxygen, the dinitrene was was effectively trapped. More oxygen therefore permeated into the and the crosslinking was further inhibited. This layer explained the reciprocity-low-failing characteristics of the material.

Some polyesters had been recently described in the area photoresist. These polyesters contain unsaturated ketone  $\circ$ f in the diol portion, e.g., substituted and unsubstimoieties tuted. Bis(4-hydroxybenzylidene)acetone (19) and 2,5-bis(4hydroxy-3-methoxy-benzylidene)cyclopentanone (20).



OCH.



 $CH.C$ 

In the polyester derived from the latter, various metal atoms were incorporated by using metal dihalides to substitute partly the diacid chlorides in the solution condensation reaction.

The resulting polyesters were photosensitive and, coated on Al or Cu, could be exposed to UV-visible radiation and solvent developed to produce photoresists with 0.5-20 % of the image being the metal.

Stolyarova and co-workers [41] had observed that in ethylene propylene copolymers, bisazide photocrosslinkers caused increased sensitivity and contrast when exposures were carried out in an Ar atmosphere as opposed to that in air. From turbidimetric titration data, the best solvent for this copolymers was a 3:1 mixture of pentene-xylene.

Crosslinking reaction through epoxy group ring opening has been demonstrated to be a feasible method of preparing negative resists, if the ring-opening reaction can be photochemically triggerred using a latent inhibitor. Thus, glycidyl

methacrylate polymers were sucessfully crosslinked by photochemically generating PF<sub>5</sub> from p-chlorobenzene diazonium fluorophosphate. The system sensitivity depended on the diazonium salt concentration, glycidyl methacrylate concentration, and the molecular weight of the comonomers.

## 2.2 Literature Review of N-substituted Maleimide Copolymers

Copolymers of N-substituted maleimides are mainly prepared to obtain new thermostable polymer materials. These polymers are characterized with high temperature of vitrifying. The interest on the polymaleimides grew also because of their unique properties as, e.g., physiological activity (antipyrene properties), optical and catalytic activity, etc. Based on these properties, copolymers of N-substituted maleimides are used also for preparation of membranes. Copolymerization of free radical initiation has been studied maleimide by extensively.

Richard Turner et al [42] had synthesizd new, thermal stable resists, poly(styrene-co-N-(p-t-butyloxycarbonyloxyphenyl) maleimide(21). These copolymers were prepared either by polymer modifixcation or by copolymerization from monomers. Exposure of a resist formulated from the protected copolymers and a photoacid generator followed by baking results in efficient deprotection to produce the free phenolic copolymer in the exposed areas. The resulting latent image can be developed in either positive or negative tone upon proper choice of developers. The resulting relief images exhibit no detectable



in size or shape after extended heating at 200 °C. change From DSC analysis, the second heating of a polymer showed a T. at 235 °C. Thermal gravimetric analysis of the t-BOC protected copolymers showed a precipitous loss of CO<sub>2</sub> and isobutene (25.4 % of sample mass) between 150 and 180 °C then a plateau follwed by slow decomposition above 300 °C.

Mohamed A.A. and Jebrael F.H. [43] attempted to copolymerize styrene with (2-chlorophenyl)maleimides (22) by using initiator. It has been shown that a charge-transfer AIBN  $as$ complex participates in the process of copolymerization. The kinetic data of these systems were investigated, but thermal analysis was not studied.

Tirrel  $D.A.$ [44] had prepared poly (N-phenylmaleimide (23) and poly(N-phenylmaleimide-co-2-chloroethyl  $-co-styrene)$ vinyl ether) (24) by using reductive demercuration to generate the 1-butyl and benzyl radicals in mixtures of N-phenylmaleimide and either of the donor olefins styrene or 2-chlorophenyl vinyl ether. The mechanisms of these systems were investigated



 $(24)$ 

and found that these copolymerization mechanisms differed from mechanistic schemes for radical polymerization in which 1:1 monomer complexes were added in a concerted manner to grewing macroradicals. These copolymers had not been studied for thermal analysis data.

Otsu T. et al [45] attempted to synthesize poly (N-substituted maleimide) (Table 2.1) and investigated the effect of N-substitutents on the radical polymerization by using AIBN as initiator in benzene.





maleimides in benzene at 50 °C for 5 hrs

The polymerization reactivities, % yield, and molecular weight of the polymers, were found to change depending on the structure of the N-substitutents. From the thermogravimetric analysis showed that poly (N-cyclohexylmaleimide), poly (N-octadecylmaleimide, poly (N-phenylmaleimide), and poly (a-methylstyrene maleimide) had excellent thermal stability  $(T_{int} > 360^{\circ}C,$  $T_{max}$   $\sim$  430 °C). The high thermal stability of these polymers were due to bulkiness of N-substitutents.

Ranogajec F. and co-workers [46] had prepared poly $(\alpha$ methylstyrene-co-maleimide(25). From the calorimetric curve it was observed that in the presence of an excess of maleimide, alternating copolymer was quantitatively formed prior to the formation of polymaleimide. Glass transition temperatures (T\_) of polymers were investigated by using differential scanning calorimeter (DSC). It was revealed that T<sub>2</sub> of the alternating copolymer and polymaleimide blended with 80 % and 56.2 % of alternating copolymer were 567 K (314°C), 580 K (307 °C) and 587 K (314°C), respectively, while polymaleimide decomposes on heating above 600 K without any indication of T<sub>2</sub>.



Borbely J. and co-workers [47] performed radical polymethyl methacrylate and N-(4-bromophenyl) merization of

maleimide (26) in dioxane at 60 °C with AIBN as initiator. The glass transition temperature of copolymers with various composition were measured. A linear correlation was found between the T\_ values and N-(4-bromophenyl)maleimide content. The  $T_{g}$  values were in the range of 108.5-209.6 °C.



Ranogajec F. et al [48] had prepared alternating copolymers of  $\alpha$ -methylstyrene with N-alkylmaleimides (RMI; R = Et; n-Pr; iso-Pr; n-Bu; n-Hax) (27) at different monomer-tomonomer ratios in the feeds using AIBN as initiator. The kinetic data and mechanisms were studied. It was found that the glass transition temperature  $(T<sub>s</sub>)$  of poly( $\alpha$ -MeSt-alt-RMI) decreased when higher alkyl chain was introduced : R,  $T_y$ / $K$ : Et, 462; n-Pr, 454; n-Bu, 416; n-Hex, 382. The alternating copolymers of a-methylstyrene with N-(iso-propyl)maleimide had the highest  $T = 485$  K.



#### 2.3 Objective for This Study

Due to high sensitivity of epoxy group and excellent thermal stability of N-phenylmaleimide, the focus of this research is aimed to synthesize polymers containing p-epoxystyrene and N-phenylmaleimide units in the main chain. Therefore, various ratios of monomers will be performed for the preparation of poly(p-epoxystyrene-co-styrene) (28) and poly(p-epoxystyrene -co-styrene-co-N-phenylmaleimide) (29). The effect of Nphenylmaleimide on to the glaSs transition temperature of the resulting polymers will be investigated by using differential scanning calorimers (DSC).



 $(28)$ 



 $(29)$ 

The synthesis consists of the following steps.

1. Synthesis of N-phenylmaleimide



## 2. Chloromethylation of 2-phenylethyl bromide



3. Dehydrobromination of p-(2-bromoethyl)benzyl chloride



4. Polymerization :

4.1 Copolymerization of poly(p-chloromethylstyrene-co-styrene)



 $(1)$ 

poly(p-chloromethylstyrene-co-4.2 Copolymerization of styrene-co-N-phenylmaleimide)



5. Carboxylation of chloromethyl groups

(Let (I) and (II) =  $(P)CH<sub>e</sub>Cl$ )

**DMSO**  $P$ CH<sub>2</sub>CI NaHCO<sub>3</sub> O

# 6. Epoxidation of carboxaldehyde groups.



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