

## CHAPTER IV

### SOME FURTHER REMARKS

First, we recall the following notation: Let  $X$  be a set and let

$B_X$  = the semigroup of binary relations on  $X$ ,

$M_X$  = the transformation semigroup of all 1-1 transformations of  $X$ ,

$O_X$  = the transformation semigroup of all onto transformations of  $X$ ,

$AM_X$  = the transformation semigroup of all almost 1-1 transformations of  $X$ ,

$AO_X$  = the transformation semigroup of all almost onto transformations of  $X$  and

$A_\alpha = \{x \in X \mid \alpha \text{ is not 1-1 at } x\}$  for all  $\alpha \in T_X$  (the full transformation semigroup on  $X$ ).

It is unknown whether the transformation semigroups  $M_X$ ,  $O_X$ ,  $AM_X$  and  $AO_X$  are absolutely closed. The aim of this chapter is to show that  $M_X$ ,  $O_X$ ,  $AM_X$  and  $AO_X$  are closed in  $B_X$ . It follows that if  $S = M_X$ ,  $O_X$ ,  $AM_X$  or  $AO_X$ , then  $S$  is closed in every subsemigroup of  $B_X$  which contains  $S$  (see (iii) page 8). In particular,  $M_X$ ,  $O_X$ ,  $AM_X$  and  $AO_X$  are closed in  $T_X$  (the full transformation semigroup on  $X$ ) and  $P_X$  (the partial transformation semigroup on  $X$ ). We leave as an open problem whether  $M_X$ ,  $O_X$ ,  $AM_X$  and  $AO_X$  are absolutely closed for any set  $X$ .

The following lemma is required to show that for any set  $X$ ,  $M_X$  is closed in  $B_X$ .

Lemma 4.1. Let  $X$  be a set. If  $\alpha \in B_X$  is such that  $\alpha\beta \in M_X$  for some  $\beta \in M_X$ , then  $\alpha \in M_X$ .

Proof: Let  $\alpha \in B_X$  and  $\beta \in M_X$  be such that  $\alpha\beta \in M_X$ . Then  $\alpha\beta = \gamma$  for some  $\gamma \in M_X$ . Since  $\beta \in M_X$ , the inverse map of  $\beta$ ,  $\beta^{-1}$ , is in  $I_X$  where  $I_X$  is the symmetric inverse semigroup on  $X$ . Then  $\alpha = \gamma\beta^{-1} \in I_X$ . But  $\Delta\alpha \supseteq \Delta\alpha\beta = \Delta\gamma = X$ , so  $\alpha \in M_X$ . #

Theorem 4.2. For any set  $X$ ,  $M_X$  is closed in  $B_X$ .

Proof: Let  $X$  be a set. To show that  $\text{Dom}(M_X, B_X) = M_X$ , let  $\alpha \in \text{Dom}(M_X, B_X)$ . Then by Corollary 1.2, there exist  $\beta_0, \beta_1, \dots, \beta_{2m} \in M_X$ ,  $\gamma_1, \dots, \gamma_m, \lambda_1, \dots, \lambda_m \in B_X$  such that

$$\alpha = \beta_0 \lambda_1, \beta_0 = \gamma_1 \beta_1,$$

$$\gamma_i \beta_{2i} = \gamma_{i+1} \beta_{2i+1},$$

$$\beta_{2i-1} \lambda_i = \beta_{2i} \lambda_{i+1} \quad (i=1, \dots, m-1),$$

$$\beta_{2m-1} \lambda_m = \beta_{2m}.$$

Then  $\alpha = \gamma_m \beta_{2m}$ . Since  $\beta_1 \in M_X$  and  $\gamma_1 \beta_1 = \beta_0 \in M_X$ , by Lemma 4.1,  $\gamma_1 \in M_X$ . Since  $\gamma_2 \beta_3 = \gamma_1 \beta_2 \in M_X$ , by Lemma 4.1,  $\gamma_2 \in M_X$ . From  $\gamma_{i+1} \beta_{2i+1} = \gamma_i \beta_{2i}$  for all  $i \in \{1, \dots, m-1\}$ , it follows by Lemma 4.1 inductively that  $\gamma_i \in M_X$  for all  $i \in \{1, \dots, m\}$ . Then  $\gamma_m \in M_X$ . But  $\alpha = \gamma_m \beta_{2m}$ , so  $\alpha \in M_X$ . Hence  $\text{Dom}(M_X, B_X) = M_X$ . Therefore  $M_X$  is closed in  $B_X$ . #



To prove that for any set  $X$ ,  $O_X$  and  $AO_X$  are closed in  $B_X$ , the following lemma is required.

Lemma 4.3. Let  $X$  be a set and  $U$  a subsemigroup of  $T_X$ . Assume that for each  $\alpha \in U$ ,  $\beta \in T_X$ ,  $\alpha\beta \in U$  implies  $\beta \in U$ . Then  $U$  is closed in  $B_X$ .

Proof: If  $X = \emptyset$ , then  $|U| = 1$ , so  $U$  is closed in  $B_X$ .

Assume that  $X \neq \emptyset$ . To show that  $\text{Dom}(U, B_X) = U$ , let  $\alpha \in \text{Dom}(U, B_X)$ .

By assumption, we have that  $1_X \in U$ . By Corollary 1.2, there exist

$\beta_0, \beta_1, \dots, \beta_{2m} \in U$ ,  $\gamma_1, \dots, \gamma_m, \lambda_1, \dots, \lambda_m \in B_X$  such that

$$\alpha = \beta_0 \lambda_1, \beta_0 = \gamma_1 \beta_1,$$

$$\gamma_i \beta_{2i} = \gamma_{i+1} \beta_{2i+1},$$

$$\beta_{2i-1} \lambda_i = \beta_{2i} \lambda_{i+1} \quad (i=1, \dots, m-1),$$

$$\beta_{2m-1} \lambda_m = \beta_{2m}.$$

Then  $\alpha = \gamma_m \beta_{2m}$ . Let  $q \in X$  and  $V = U \cup CT_X$ . Since  $CT_X$  is an ideal of

$T_X$ ,  $V$  is a subsemigroup of  $T_X$  containing  $CT_X$ . For each  $\lambda \in B_X$ ,

define  $\varphi_\lambda \in T_X$  as follows: For  $p \in X$ ,

$$p\varphi_\lambda = \begin{cases} p(X_p \lambda) & \text{if } X_p \lambda \in V, \\ q & \text{if } X_p \lambda \notin V. \end{cases}$$

Then from  $\beta_{2i-1} \lambda_i = \beta_{2i} \lambda_{i+1}$  ( $i=1, \dots, m-1$ ) and  $\beta_{2m-1} \lambda_m = \beta_{2m}$ , we have by Lemma 3.2 that

$$\beta_{2i-1} \varphi_\lambda \lambda_i = \beta_{2i} \varphi_\lambda \lambda_{i+1} \quad (i=1, \dots, m-1),$$

$$\beta_{2m-1} \varphi_\lambda \lambda_m = \beta_{2m}.$$

Now, we have the following system of equalities:

$$\begin{aligned}\beta_0 &= \gamma_1 \beta_1, \\ \gamma_i \beta_{2i} &= \gamma_{i+1} \beta_{2i+1}, \quad \beta_{2i-1} \varphi_{\lambda_i} = \beta_{2i} \varphi_{\lambda_{i+1}} \quad (i=1, \dots, m-1), \\ \beta_{2m-1} \varphi_{\lambda_m} &= \beta_{2m}.\end{aligned}$$

Then by Lemma 3.1,  $\beta_0 \varphi_{\lambda_1} = \gamma_m \beta_{2m}$ . From  $\alpha = \gamma_m \beta_{2m}$ , we have  $\alpha = \beta_0 \varphi_{\lambda_1}$ .

Since  $\beta_{2m-1} \varphi_{\lambda_m} = \beta_{2m}$ , by assumption,  $\varphi_{\lambda_m} \in U$ . Since

$$\beta_{2m-3} \varphi_{\lambda_{m-1}} = \beta_{2m-2} \varphi_{\lambda_m} \in U, \text{ by assumption, } \varphi_{\lambda_{m-1}} \in U. \text{ From}$$

$$\beta_{2i-1} \varphi_{\lambda_i} = \beta_{2i} \varphi_{\lambda_{i+1}} \text{ for all } i \in \{1, \dots, m-1\}, \text{ it follows by assumption}$$

inductively that  $\varphi_{\lambda_i} \in U$  for all  $i \in \{1, \dots, m\}$ . Then  $\varphi_{\lambda_1} \in U$ . But

$\alpha = \beta_0 \varphi_{\lambda_1}$ , so  $\alpha \in U$ . This proves that  $\text{Dom}(U, B_X) = U$ . Therefore  $U$  is

closed in  $B_X$ . #

Theorem 4.4. For any set  $X$ ,  $O_X$  is closed in  $B_X$ .

Proof: Let  $X$  be a set. It is known that for  $\alpha, \beta : X \rightarrow X$ , if  $\alpha\beta$  is onto, then  $\beta$  is onto. This implies that for  $\alpha \in O_X$ ,  $\beta \in T_X$ ,  $\alpha\beta \in O_X$  implies  $\beta \in O_X$ . Then by Lemma 4.3,  $O_X$  is closed in  $B_X$ . #

Theorem 4.5. For any set  $X$ ,  $AO_X$  is closed in  $B_X$ .

Proof: Let  $X$  be a set. Let  $\alpha \in AO_X$  and  $\beta \in T_X$  be such that  $\alpha\beta \in AO_X$ . Then  $X \setminus \text{Va}\beta$  is finite. Since  $\text{Va}\beta \subseteq \text{V}\beta$ ,  $X \setminus \text{V}\beta \subseteq X \setminus \text{Va}\beta$ , which implies that  $X \setminus \text{V}\beta$  is finite. Therefore  $\beta \in AO_X$ . Hence by Lemma 4.3,  $AO_X$  is closed in  $B_X$ . #



The next two lemmas are required to prove that for any set  $X$ ,  $AM_X$  is closed in  $B_X$ .

Lemma 4.6. Let  $X$  be a set. If  $\alpha \in B_X$  and  $\beta \in T_X$  are such that  $\alpha\beta \in T_X$ , then for  $x \in X \setminus A_{\alpha\beta}, t, z \in X, (x, z), (t, z) \in \alpha$  implies  $x = t$ .

Proof: Let  $\alpha \in B_X$  and  $\beta \in T_X$  be such that  $\alpha\beta \in T_X$ . Let  $x \in X \setminus A_{\alpha\beta}$  and  $t, z \in X$  be such that  $(x, z), (t, z) \in \alpha$ . Then  $(x, z\beta), (t, z\beta) \in \alpha\beta$ . Since  $x \in X \setminus A_{\alpha\beta}$  (that is,  $\alpha\beta$  is 1-1 at  $x$ ),  $x = t$ . #

Lemma 4.7. Let  $X$  be a set and  $\alpha \in B_X$ . Suppose that  $F \subseteq X$  which satisfies the following property: for  $x \in X \setminus F, t, z \in X, (x, z), (t, z) \in \alpha$  implies  $x = t$ . Then the following statements hold:

(1) If  $\beta \in T_X$ , then for  $x \in X \setminus (F \cup A_{\beta}\alpha^{-1}), t, z \in X, (x, z), (t, z) \in \alpha\beta$  implies  $x = t$ , where  $A_{\beta}\alpha^{-1} = \{w \in X \mid (w, y) \in \alpha \text{ for some } y \in A_{\beta}\}$ .

(2) If  $\beta \in AM_X$  and  $F$  is finite, then  $A_{\beta}\alpha^{-1}$  is finite, and hence  $F \cup A_{\beta}\alpha^{-1}$  is finite.

Proof: To prove (1), let  $\beta \in T_X, x \in X \setminus (F \cup A_{\beta}\alpha^{-1})$  and  $t, z \in X$  be such that  $(x, z), (t, z) \in \alpha\beta$ . Then there exist  $w, w' \in X$  such that  $(x, w), (t, w') \in \alpha$  and  $(w, z), (w', z) \in \beta$ . Since  $x \in X \setminus A_{\beta}\alpha^{-1}$  and  $(x, w) \in \alpha$ , it follows that  $w \in X \setminus A_{\beta}$ . But  $(w, z), (w', z) \in \beta$ , so  $w = w'$ . Therefore  $(x, w), (t, w) \in \alpha$ . Since  $x \in X \setminus F$ , by assumption,  $x = t$ .

To prove (2), assume that  $F$  is finite and  $\beta \in AM_X$ . For each  $y \in X$ , let  $y\alpha^{-1} = \{x \in X \mid (x, y) \in \alpha\}$ . Then  $A_{\beta}\alpha^{-1} = \bigcup_{y \in A_{\beta}} y\alpha^{-1}$ . Claim that for each  $y \in X, y\alpha^{-1}$  is finite. To show the claim, let  $y \in X$ .

Case 1:  $y\alpha^{-1} \cap (X \setminus F) \neq \emptyset$ . Let  $x \in y\alpha^{-1} \cap (X \setminus F)$ . Then  $(x, y) \in \alpha$  and  $x \in X \setminus F$ . If  $w \in y\alpha^{-1}$ , then  $(w, y) \in \alpha$ , so by assumption,  $x = w$ . Therefore  $y\alpha^{-1} = \{x\}$ .

Case 2:  $y\alpha^{-1} \cap (X \setminus F) = \emptyset$ . Then  $y\alpha^{-1} = (y\alpha^{-1} \cap (X \setminus F)) \cup (y\alpha^{-1} \cap F) = y\alpha^{-1} \cap F$  and therefore  $y\alpha^{-1} \subseteq F$ . Since  $F$  is finite,  $y\alpha^{-1}$  is finite.

Hence we have the claim. Since  $\beta \in AM_X$ ,  $A_\beta$  is finite. Then we have by the claim that  $\bigcup_{y \in A_\beta} y\alpha^{-1}$  is finite. Hence  $A_\beta\alpha^{-1}$  is finite. #

Corollary 4.8. Let  $X$  be a set. Let  $\alpha, \beta \in T_X$  and  $\gamma, \mu \in B_X$  be such that  $\gamma\alpha = \mu\beta$ . Suppose that  $F \subseteq X$  which satisfies the following property: for  $x \in X \setminus F, t, z \in X$ ,  $(x, z), (t, z) \in \gamma$  implies  $x = t$ . Then for  $x \in X \setminus (F \cup A_\alpha\gamma^{-1}), t, z \in X$ ,  $(x, z), (t, z) \in \mu$  implies  $x = t$ .

Proof: Assume that the assumption holds. Let  $x \in X \setminus (F \cup A_\alpha\gamma^{-1})$  and  $t, z \in X$  be such that  $(x, z), (t, z) \in \mu$ . Then  $(x, z\beta), (t, z\beta) \in \mu\beta$ . But  $\gamma\alpha = \mu\beta$ , so  $(x, z\beta), (t, z\beta) \in \gamma\alpha$ . By Lemma 4.7(1), we get that  $x = t$ . #

Theorem 4.9. For any set  $X$ ,  $AM_X$  is closed in  $B_X$ .

Proof: Let  $X$  be a set. If  $X = \emptyset$ , then  $|AM_X| = 1$ , so  $AM_X$  is closed in  $B_X$ . Assume that  $X \neq \emptyset$ . To show that  $\text{Dom}(AM_X, B_X) = AM_X$ , let  $\alpha \in \text{Dom}(AM_X, B_X)$ . Since  $1_X \in AM_X$ , by Corollary 1.2, there exist  $\beta_0, \beta_1, \dots, \beta_{2m} \in AM_X$ ,  $\gamma_1, \dots, \gamma_m, \lambda_1, \dots, \lambda_m \in B_X$  such that

$$\alpha = \beta_0 \lambda_1, \beta_0 = \gamma_1 \beta_1,$$

$$\gamma_i \beta_{2i} = \gamma_{i+1} \beta_{2i+1},$$

$$\beta_{2i-1} \lambda_i = \beta_{2i} \lambda_{i+1} \quad (i=1, \dots, m-1),$$

$$\beta_{2m-1} \lambda_m = \beta_{2m}.$$



Then  $\alpha = \gamma_m \beta_{2m}$ . Let  $q \in X$  and  $U = AM_X \cup CT_X$ . Since  $CT_X$  is an ideal of  $T_X$ ,  $U$  is a subsemigroup of  $T_X$  containing  $CT_X$ . For each  $\lambda \in B_X$ , define  $\varphi_\lambda \in T_X$  as follows: For  $p \in X$ ,

$$p\varphi_\lambda = \begin{cases} p(X_p \lambda) & \text{if } X_p \lambda \in U, \\ q & \text{if } X_p \lambda \notin U. \end{cases}$$

Then from  $\beta_{2i-1} \lambda_i = \beta_{2i} \lambda_{i+1}$  ( $i = 1, \dots, m-1$ ) and  $\beta_{2m-1} \lambda_m = \beta_{2m}$ , we have by Lemma 3.2 that

$$\begin{aligned} \beta_{2i-1} \varphi_{\lambda_i} &= \beta_{2i} \varphi_{\lambda_{i+1}} & (i = 1, \dots, m-1), \\ \beta_{2m-1} \varphi_{\lambda_m} &= \beta_{2m}. \end{aligned}$$

Now, we have the following system of equalities:

$$\begin{aligned} \beta_0 &= \gamma_1 \beta_1, \\ \gamma_i \beta_{2i} &= \gamma_{i+1} \beta_{2i+1}, \quad \beta_{2i-1} \varphi_{\lambda_i} = \beta_{2i} \varphi_{\lambda_{i+1}} & (i=1, \dots, m-1), \\ \beta_{2m-1} \varphi_{\lambda_m} &= \beta_{2m}, \end{aligned}$$

so by Lemma 3.1, we get that  $\beta_0 \varphi_{\lambda_1} = \gamma_m \beta_{2m}$ . Since  $\alpha = \gamma_m \beta_{2m}$ ,  $\alpha = \beta_0 \varphi_{\lambda_1} \in T_X$ .

Next, we shall show that  $A_\alpha$  is finite. From  $\gamma_1 \beta_1 = \beta_0 \in AM_X$ , it follows by Lemma 4.6 that for each  $x \in X \setminus A_{\beta_0}$ ,  $t, z \in X$ ,  $(x, z), (t, z) \in \gamma_1$  implies  $x = t$ . Let  $F_1 = A_{\beta_0}$ . Since  $\beta_0 \in AM_X$ ,  $F_1$  is finite. Since  $\gamma_1 \beta_2 = \gamma_2 \beta_3$ , by Corollary 4.8, we have that for each  $x \in X \setminus (F_1 \cup A_{\beta_2} \gamma_1^{-1})$ ,  $t, z \in X$ ,  $(x, z), (t, z) \in \gamma_2$  implies  $x = t$ . Let  $F_2 = F_1 \cup A_{\beta_2} \gamma_1^{-1}$ . Then we have by Lemma 4.7(2) that  $F_2$  is finite since  $\beta_2 \in AM_X$ . Since  $\gamma_2 \beta_4 = \gamma_3 \beta_5$ , by Corollary 4.8, we have that

for each  $x \in X \setminus (F_2 \cup A_{\beta_4} \gamma_2^{-1})$ ,  $t, z \in X$ ,  $(x, z), (t, z) \in \gamma_3$  implies  $x = t$ .

Let  $F_3 = F_2 \cup A_{\beta_4} \gamma_2^{-1}$ . By Lemma 4.7(2),  $F_3$  is finite. From

$\gamma_i \beta_{2i} = \gamma_{i+1} \beta_{2i+1}$  for all  $i \in \{1, \dots, m-1\}$ , it follows by Corollary 4.8 and Lemma 4.7(2) inductively that for each  $i \in \{1, \dots, m-1\}$ , there

exists a finite subset  $F_{i+1}$  of  $X$  such that for each  $x \in X \setminus F_{i+1}$ ,

$(x, z), (t, z) \in \gamma_{i+1}$  implies  $x = t$ . Hence there exists a finite subset

$F_m$  of  $X$  such that for each  $x \in X \setminus F_m$ ,  $t, z \in X$ ,  $(x, z), (t, z) \in \gamma_m$  implies

$x = t$ . By Lemma 4.7(1), we have that for each  $x \in X \setminus (F_m \cup A_{\beta_{2m}} \gamma_m^{-1})$ ,

$t, z \in X$ ,  $(x, z), (t, z) \in \gamma_m \beta_{2m}$  implies  $x = t$ . Let  $F = F_m \cup A_{\beta_{2m}} \gamma_m^{-1}$ .

By Lemma 4.7(2),  $F$  is finite. Since  $\gamma_m \beta_{2m} = \alpha \in T_X$ ,  $\alpha$  is 1-1 at every

point in  $X \setminus F$ . Then  $A_\alpha \subseteq F$ , so  $A_\alpha$  is finite. Hence  $\alpha \in AM_X$ .

This proves that  $\text{Dom}(AM_X, B_X) = AM_X$ . Hence  $AM_X$  is closed in

$B_X$ . #

ศูนย์วิจัยทรัพยากร  
จุฬาลงกรณ์มหาวิทยาลัย