

### CHAPTER I

#### INTRODUCTION

## 1.1. Nitrogen-fixing bacteria

The growth and yield of agricultural crops depend, among other things, on the availability of nitrogen in the soil. It has been estimated that the need for nitrogen in agriculture will be doubled by the year of 2000 due to the increasing global population (Keeney, 1982). Although dinitrogen (Ng) are quite abundant (78%) in the air, they are not available for plants, only combined inorganic nitrogen such as NO3, NH4 can be taken up. These inorganic fertilizers are currently produced in factories by a chemical process called Harbor-Bosh process which requires fossil energy. Naturally moleculardinitrogen in the air can enter the organic nitrogen pools in the biosphere through a certain groups of prokaryotes which via a reduction process mediated by nitrogenase complex that fixes  $N_{g}$ by the expense of ATP, the chemical energy in living organisms, so called Biological Nitrogen Fixation (BNF). Due to the energy crisis, the price of chemical fertilizer and the risk of environmental pollution are increasing with each passing day; therefore, alternative approach are of great practical interest. One such approach is to use BNF for NH, production.

#### nitrogenase

 $N_{e}$  + 8  $H^{+}$  + 16 ATP -------> 2  $NH_{o}$  +  $H_{e}$  + 16 ADP + 16 Pi A process examplified by a number of diversified genera of bacteria, shows a certain specificity to host plants. A large number of dicotyledonous plants, from several different families, establish symbiotic associations with specific N<sub>e</sub>-fixing bacteria. In these associations, the nodule structures develop on the root of the plant after the diazotrophs have infected the root of the plant. The root is the site where N<sub>2</sub> gas is reduced to ammonia, which is assimilated into amino acids; these are then used to synthesize other nitrogen-containing compounds (Hirsch, 1992). The best studied N<sub>2</sub>-fixing bacteria-plant interaction, is that between plants of the Genus Fabaceae and members of the Gram negative Rhizobiaceae. genera; Rhizobium, Bradyrhizobium and Azorhizobium specifically associate with legumes. Many legumes respond to Rhizobium inoculation by developing unique structure known as nodules on their roots. The development of a legume nodule in which rhizobia convert atmospheric N<sub>e</sub> into ammonia is a finely tuned process.

### 1.2. The nitrogenase complexes

The ability to fix atmospheric  $N_e$  of diazotroph is involved with the nitrogenase and the genetic expression of nitrogen fixation (nif) genes. The study of biochemistry of nitrogenase has begun before 1960. The nitrogenase, consisting of two components had been

extracted and purified from many microbes (Table 1.1). Both components form aggregates and can be shown to consist of subunits. By the study K. pneumoniae (Postgate, 1982), the nitrogenase complex is two components required for Ng-fixing activity, component I (Kp1) and component II (Kp2). Kp1, a dinitrogenase or molybdoprotein (MoFe protein), consists of two  $\alpha$ - and  $\beta$ -subunits  $(\alpha, \beta)$ . The  $\alpha$ - and  $\beta$ -subunits are coded by nifD and respectively. Kp2, a dinitrogenase reductase or iron protein (Fe protein), consists of two identical subunits coded by nifH. protein components are irreversibly inactivated by oxygen. An ironmolybdenum cofactor (FeMoco) containing Mo, Fe and S, the active site of nitrogenase; can be separated from the MoFe protein. reductant and ATP are required for enzyme activity; approximately 15 moles of ATP are consumed per mole of dinitrogenase reduced. In of this high energy requirement and the extreme oxygen sensitivity of the protein components, it is not surprising that nitrogenase synthesis is tightly controlled.

### 1.3. The nitrogen fixation (nif) genes and regulation of nif genes

To date, the best known free-living nitrogen-fixing bacterium is K. pneumoniae, which is closely related to E. coli and is therefore easily emended by all relevant methods developed for the latter. The details have been reviewed by Postgate (1982) and Merrick (1988). There are 20-21 nif genes involved in nitrogen fixation, located in

Table 1.1. Some organisms from which active nitrogenase has been extracted (Postgate, 1982).

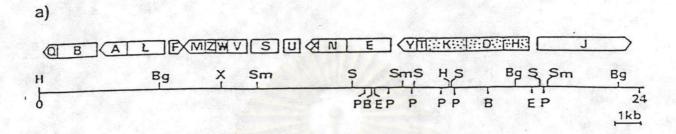
Organism	Reference			
Anabaena cylindrica	Stewart et al. (1969)			
Azospirillum lipoferum	Okon et al. (1977)			
zotobacter chroococcum	Kelly (1968 a, 1969 a)			
zotobacter vinelandii	Bulen et al. (1965)			
Bacillus polymyxa	Emerich and Burris (1978 b)			
Chromatium vinosum	Winter and Arnon (1970)			
lostridium pasteurianum	Carnahan et al. (1960)			
orynebacterium autotrophicum	Berndt et al. (1978)			
esulfovibrio desulfuricans	Sekigushi and Nosoh (1973)			
lebsiella pneumoniae	Eady et al. (1972)			
ycobacterium flavum	Biggins and Postgate (1969)			
hizobium japonicum	Koch et al. (1967)			
hodospirillum rubrum	Bulen et al. (1965)			

contiguously cluster on the chromosome between hisG and shiA, extending over 23 kb in seven operons (Figure 1.1 a.). Fifteen of these genes are responsible for the production of enzyme nitrogenase, which can reduce molecular nitrogen to NH<sub>3</sub>; among these are three structural genes H, D and K, two regulatory genes, L and A and two other genes, F and J which provide proteins for electron transfer (Table 1.2.). The nifHDKYT operon and a part of nifE (6.11 kb EcoRI-fragment) of K. pneumoniae has been cloned into EcoRI site of plasmid pACYC184 (Cannon et al., 1979), the restriction map of this clone pSA30 is shown in Figure 1.1 b. Using the plasmid pSA30 as a hybridization probe, DNA homology between nif structural genes from K. pneumoniae and other diazotrophs can be observed.

Although, the nif genes of most nitrogen-fixing bacteria are located on chromosome, there are evidences that plasmid plays a role in determining the ability of Rhizobium to induce nitrogen fixing nodules on legume roots (Banfalvi et al., 1981 and Masterson et al., 1985). In addition, the structural genes of nitrogenase (nifHDK) were reported on plasmids of many Rhizobium spp. (Uozumi et al., 1982) such as R. japonicum (Masterson et al., 1985), R. meliloti (Banfalvi et al., 1981) and R. leguminosarum (Hirsch et al., 1980). In some associated diazotrophs, the plasmids of several species have been isolated and detected from Azotobacter vinelandii strain AVY15 (Yano et al., 1982), A. chroococcum (Robson et al., 1984), Enterobacter agglomerans (Singh et al., 1988), except A. vinelandii strain UW (Robson, 1981). A. vinelandii strain AVY15 carried genes homologous to



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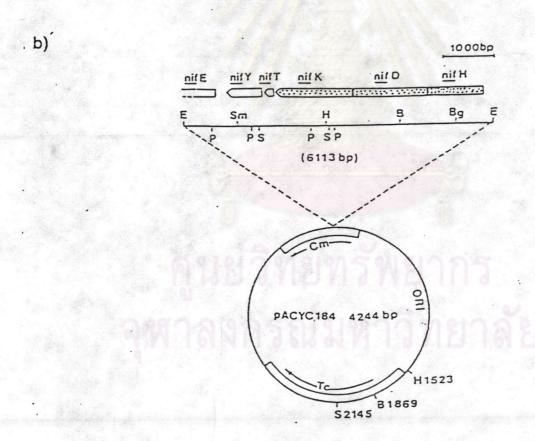


Table 1.2. The products and functions of the nif genes of Klebsiella pneumoniae (Arnold et al., 1988).

Gene	Molecular mass (kD)						
J	120	Electron transport:pyruvate flavodoxin oxidoreductase					
Н	35	Dinitrogenase reductase (Fe protein, component II)					
D	56	$\alpha$ -Subunit of dinitrogenase (MoFe protein, component I)					
K	60	β-Subunit of dinitrogenase (MoFe protein, component I)					
Т		unknown					
Y	24	Maturation of component I					
E	40	Synthesis of FeMoco					
N	50	Synthesis of FeMoco					
X	18	unknown					
U	25	Maturation of component I					
S	45	Maturation of component I or component II					
V	42	Synthesis of FeMoco: homocitrate synthase					
w		unknown					
Z	15-17	unknown					
M	28	Processing of component II					
F	20	Electron transport: flavodoxin					
L	50	nif-specific repression					

Table 1.2. (continued)

Gene	Molecular	Function
·	mass (kD)	
A	60	nif-specific activation
В	49	Synthesis of FeMoco
Q		Processing of Mo

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containing 2-6 plasmids ranging from 11 to over 330 kb in size, but the nif structural genes are located on chromosome. In E. agglomerans, not only the nif structural genes (nifHDK) but also the rest of the nif genes are present on plasmid in strains 243, 333, 334, 335, 339. By using modified method, the plasmids of rice root-associated diazotrophs such as Alcaligenes faecalis A15, E. cloacae E26 EnSn, K. oxytoca NG13 (Wang et al., 1989) and Azospirillum lipoferum strain COC8 (Uozumi et al., 1982) have been isolated and detectd. Except K. oxytoca NG13, all bacteria described above were found to harbour plasmids, however the nif genes were located on the chromosomal DNA, except A. lipoferum COC8 that carried plasmid pTACOC8 (300 kb) which contained genes homologous to nifHDK and nifQ-K.

In free-living K. pneumoniae, the expression of nif genes is subjected to nitrogen control at two levels (Merrick, 1988). The first level of regulation is nif-specific and is mediated by the products of the nifLA operon, the nifA product (NifA) is a transcriptional activator which is required for the expression of all other nif operons. The nifL product (NifL) mediates O<sub>e</sub> repression at nifA activated transcription. The activity of NifA is controlled by NifL in response to nitrogen and oxygen status. NifL antagonizes NifA-mediated transcription in the presence of fixed N<sub>e</sub> or O<sub>e</sub>. The second level of nif regulation is the control of NifLA transcription by the nitrogen regulation (ntr) system, a centralized system, which controls the expression of a variety of nitrogen

assimilatory genes in enteric bacteria. The ntr system comprises of three genes: ntrA, ntrB and ntrC. The ntrBC genes are tightly linked to glnA gene coding for glutamine synthetase (GS), an enzyme in ammonia assimilation, whereas the ntrA is unlinked. The ntrA and ntrC are necessary for activation of nif genes cluster through the nifLA operon whereas the glnA and the ntrB products are not necessary for this activation. The rpoN (ntrA) product is a sigma factor (654) which complexes with core RNA polymerase (E) to form an RNA polymerase holoenzyme (E6 4) which recognizes the nifLA promotor but this binding is non-productive with respect to the initiation of transcription. The activation of nifLA transcription under N-limitation requires ntrC product to bind with upstream site of Ed in nifLA promotor. The nifA product, then in the presence of the ntrA product acts as a positive effector of the transcription to all other nif operons. The two genes: ntrC and nifA share sequence homology and the nifa product can substitute for the ntrc product to activate operons under ntr control (Alvarez-Morales et al., 1984).

### 1.4. The nodulation

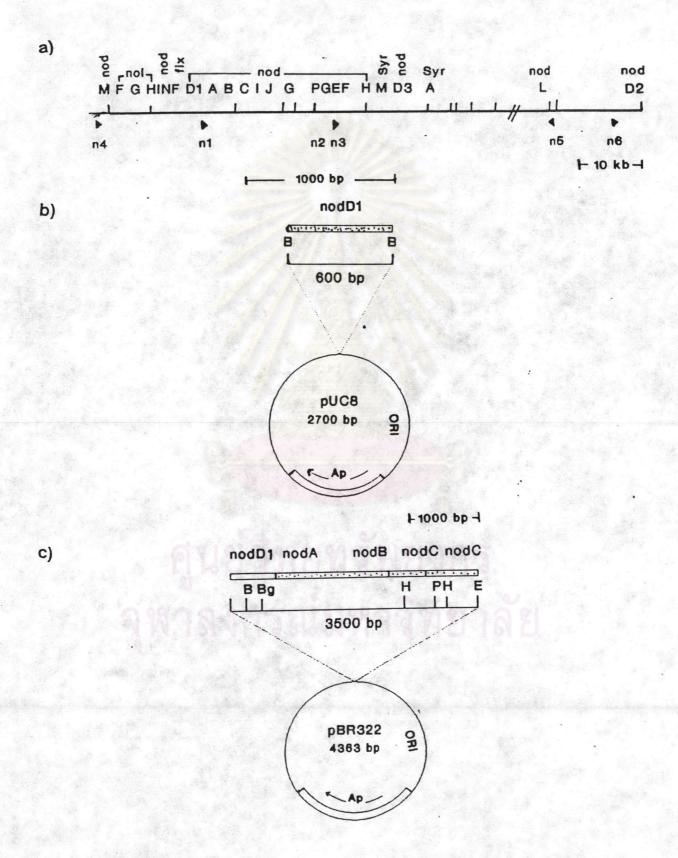
The nodulation of plants by Rhizobium or Bradyrhizobium species and the subsequent fixation of atmospheric nitrogen within these nodules is the result of the coordinated expression of both plants and bacterial genes (Scott, 1986). This symbiotic association is usually restricted to plant species within the family Leguminasae. Bacteria enter the root at points of bacterium-induced meristematic activity and invade cortical cells through the induction of infection threads. Infection of some rhizobia occurs through root hair curling and infection thread formation, and some through modified lateral root with an apical meristematic zone and a central vascular system, resulting in a determinate nodule structure.

### 1.5. The nodulation (nod) genes

Genetic analysis of nodulation in the Rhizobium-legume symbiosis has revealed that nod genes, nol genes and syr genes in R. meliloti strain 41 are involved in nodulation (Kondorosi, 1984). In slow-growing rhizobia, now classified as Bradyrhizobium, nod genes localized on chromosomal DNA but fast growers Rhizobium nod genes localized on chromosomal DNA and large symbiotic plasmid, known as pSym (Gyorgypal et al., 1991). In Rhizobium meliloti there are 21 nodulation genes, located in Sym plasmid 135 kb, in six operons (Figure 1.2 a.). Nodulation genes are divided in 2 groups as shown in

- Figure 1.2. The *nod* gene cluster of *Rhizobium meliloti* and plasmid pE39 and pRmSL42 derived from Kondorosi *et al.* (1991).
- a) The organization of the 21 nod genes within the 135 kb length of DNA. The arrows represent the operons within the nod cluster and the direction of their transcription.
- b) A physical map of pE39 demonstrating a number of restriction enzyme cleavage sites and their position in the *Rhizobium meliloti nod*D1 gene (0.6 kb) which has been inserted into *Bam*HI cleavage site of pUC8. Ap is the gene coding for ampicillin resistance.
- c) A physical map of pRmSL42 demonstrating a number of restriction enzyme cleavage sites and their position in the *Rhizobium meliloti nod*ABC D gene (3.5 kb) which has been inserted into *EcoRI* cleavage site of pBR322. Apr is the gene coding for ampicillin resistance.

Restriction endonuclease which cut between and within nod genes are shown: B, BamHI; Bg, BgIII; E, EcoRI; H, HindIII; and P, PstI.



the first group is the regulatory genes (nodD1, D2 Table 1.3.; and D3) which is found in all rhizobia. The part of nodD1 (0.6 kb of R. meliloti 1021 has been cloned into BamHI site of plasmid pUC8 (Egelhoff and Long, 1985), restriction map of shown in Figure 1.2 b. Although nodD is this clone pE39 is constitutively expressed, the genes of the nod operon are normally not expressed if host-derived molecules are absent but when plant secreted specific plant flavonoids or chalcones; derivative of flavone (Figure 1.3.) and these chemicals concerted with the product of regulatory gene nodD then induced structural genes. As reviewed by Hirsch (1992), The C-terminal end of the nodD protein determines flavonoid specificity, while the N-terminal region is involved in binding to regions of DNA known as nod boxes. The nod boxes is highly conserved 47 bp long, cis regulatory region found in promotor of nod operons. The second group is nod structural genes which are divided in 2 classes, firstly the common nod genes (nodABC) are found in all rhizobia which synthesize proteins which produce precursor of nodulation factor, and secondly the host specific nod genes which confers specificity to host plants. These genes synthesize proteins that modify precursor nodulation factor to nodulation factor (Table 1.3.). The nodABC operon (3.5 kb EcoRI-fragment) of R. meliloti 1021 has been cloned into EcoRI site of plasmid pBR322 (Egelhoff and Long, 1985), the restriction map of this clone pRmSL42 is shown in Figure Using the plasmid pE39 and pRmSL42 as hybridization probes, DNA homology between common nodD ABC genes from R. meliloti and

Table 1.3. The functions and properties of *nod* genes of *Rhizobium meliloti* (Fisher and Long, 1992)

nod	Known function or properties						
AB	Required for Nod factor production.						
c ·	Homology to chitin and cellulose synthases; proposed to form β-1,4-glycosyl bond.						
D	Transcriptional activator of inducible nod genes.						
E	Host-specific; homology to β-ketoacyl synthase (condensing						
	enzyme) of fatty acyl synthase; proposed to synthesize						
F	Nod factor acyl chain.  Host-specific; homology to acyl carrier protein; proposed						
	to synthesize Nod factor fatty acyl chain.						
G	Host-specific; homology to reductases; proposed to modify						
	Nod factor fatty acyl side chain.						
Н	Host-specific; required for formation of sulphated Nod						
	factor proposed to transfer activated sulphate (PAPs) to						
	Nod factor.						
I	Homology to ATP-binding active transport proteins;						
	proposed to form membrane transport complex with nodJ.						
J	Homology to transmembrane proteins; proposed to form						
	membrane transport complex with nodI.						

Table 1.3. (continued)

nod	Known function or properties					
L	Host-specific; homology to acetyl transferase; required					
	for formation of 6-0-acetyl Nod factor; proposed to add					
	O-acetyl group to Nod factor.					
M	Host-specific glucosamine synthase; proposed to synthesize					
	Nod factor sugar subunits.					
N	Host-specific; involved in Vicia hirsuta nodulation.					
0	Exported Ca++-binding; homology to haemolysin; proposed					
	to mediate early stage in rhizobia-Legume interaction.					
P	Host-specific ATP sulphurylase; proposed to provide					
	activated sulphate for transfer to Nod factor.					
Q	Host-specific ATP sulphurylase and APS kinase; together					
	with nodP makes activated sulphate (PAPs); proposed to					
	provide activated sulphate for transfer to Nod factor.					
T	Host-specific; involved in Trifolium subterranean					
	nodulation; proposed to be membrane protein.					
V.	Homology to two-component regulatory system sensor					
	proteins; proposed to regulate unknown target genes.					
W	Homology to two-component regulatory system activator					
	proteins; proposed to regulate unknown target genes.					

Table 1.3. (continued)

nod	Known function or properties
X	Host-specific hydrophobic proteins; extends host-range
	to Afghanistan peas.
no1R	Repressor of nodD.

\*Other genes including nodK, nodS, nodU, nodY, nodZ, nolA, nolE, nolP, nolF, nolG, nolH, nolI have been identified, but have not been described by means of sequence homology to other published gene products, not have possible functions been proposed.

5,7,3',4'-tetrahydroxyflavone 5,7,3',4'-tetrahydroxyflavanone "luteolin": inducer of nod D "eriodictyol": inducer of nod D

5,7,4'-trihydroxyisoflavone 5,4'-dihydroxy-7-methoxyflavanone
"genistein": inhibitor of nod D "Sakuranetin"

Figure 1.3. Structure of plant signal: flavonoids in legume and rice

No fixing bacteria were reported in B. japonicum, (Dockendorff et al, 1994). The common nod genes (nodABCIJ) as well as nodulation genes involved in host specificity (nodFE, nodG, nodH and nodL) not only play a major role in root hair deformation and root hair curling but also in the initiation of cortical cell division which establish the nodule initiation. The common nod genes are so-called because they have been detected in all rhizobia and also because nod genes of R. meliloti, functionally complement comparable genes in other Rhizobium species. If any one of the nod genes is mutated, the ability of Rhizobium to deform root hairs to initiate cortical cell divisions on its host is eliminated and mutation in nodH enable R. meliloti to deform root hairs of white clover and vetch, species not normally compatible with that Rhizobium. The host specificity nod genes are not functionally conserved among the various Rhizobium species; they can not be genetically complemented by genes from other species. Host specific nod genes are also induced by plant-derived molecules (Kondorosi et al., 1991, Long, 1992).

Nodulation factor or nod signal is lipooligosaccharide (a substituted oligoglucosamine with a fatty acid tail on one end of the molecules) eg. nod signal of R. meliloti (Figure 1.4.) is 6-0-sulfated -N-(C16:2)-acyl-tri-N-acetyl- $\beta$ -1,4,-D-glucosamine tetrasaccharide (Lerouge  $et\ al$ , 1990). The nod signal has specificity to its host plant and induced root hair deformation (Had<sup>+</sup>), root hair curling and branching through which the bacteria formed infection thread into

Figure 1.4. Structure of nododulation factor or nod signal in Rhizobium meliloti

the cortex layer and division of plant cells in cortical layer form nodule (nodule induction (Nod+)) and bacteria deformed to bacteroid which can fix nitrogen from atmosphere.

## 1.6. Biological nitrogen fixation in rice

Rice is the most important food crop of the developing countries and nitrogen is a major fertilizer in rice production, the work of many laboratories and field experiments on biological nitrogen-fixation confirm that nitrogen-fixing ability in the rice rhizosphere is rather high (Qiu et al., 1980). The condition in paddy soils may be aerobic or anaerobic, and almost all major nitrogen-fixing bacteria (diazotrophs) can grow in this ecosystem. In IRRI, Philippines the great majority of bacteria associated with rice roots and rhizospheric soils belong to Pseudomonas, Azospirillum, Enterobacter and Klebsiella (Ladha et al., 1982, 1983). Azotobacter, Beijerinckia, Methylomonas, Flavobacterium and Rhodobacter have also been reported as nitrogen-fixing inhabitants of rice roots (Wanatabe, 1985).

In Thailand, Klebsiella strains R15 and R17 were isolated from the rhizosphere of rice CV RD7 and RD6 (Harinasut, 1981).

K. oxytoca NG13 is associative diazotroph isolated from rice rhizosphere in Japan. Klebsiella R15, R17 and NG13 are able to fix N<sub>2</sub> under either aerobic or microaerobic conditions and show significant increase in nitrogenase activity when associated with rice as compared to free-living condition.

One of the major problem is the criteria indicating the presence of association between diazotrophs and Gramineae. In contrast to Rhizobium-legume symbiosis, the diazotrophs-grasses interaction does not produce visible structure on roots which indicate successful infection. Therefore interaction between grasses and N<sub>e</sub>-fixing bacteria has been described as associative. There are illustration of the Azospirillum-filled spherical structures on the root surfaces of sugarcane and deformation of root hair, and the evidence that Azospirillum breaks the root epidermal barrier and invades cortical and vascular tissues of the host (McClung and Patriquin, 1980). These observations are also reported in other diazotroph-plant association such as Azospirillum-pearl millet and guinea grass (Umali-Garcia et al., 1980) and Azospirillum-kaller grass (Reinhold et al., 1987). Association between Klebsiella oxytoca R15 and R17 with rice seedlings grown in sterile water resulted in curling, branching, denser and longer root hairs, together with firm adherence of bacteria on the rhizoplane as individual cells, clusters and eventually as enveloped micronodule structures of 10-15  $\mu$  in diameter. Invasion of a few bacteria clusters was also found in the epidermal and outer cortical layers of rice root and detection of lectin activity in the root exudate and bound lectin as an associative factor (Limpananont, 1987). In general, lectins are proteins or glycoproteins of non-immune origin which bind to cell surfaces via specific sugar residues and oligosaccharide determinants. Lectins in root of rice played the role as associative factor between root and  $N_e$ -

fixing bacteria Klebsiella oxytoca. The presence of secretory lectins in root exudate and bound lectin on root surface assist adhesion of Klebsiella to the rhizosphere (Limpananont, 1987 and Pitaksutheepong, 1992). Since rice lectins bind specifically with N-acetylglucosamine, the carbohydrate moiety of the nodulation factor of R. meliloti which mediate the first step of Rhizobium-legume interaction. Receptor molecules that bind the lipooligosaccharide are presumed to be present on the root hairs. The chemical nature of the receptor molecule is so far unknown, but it has been postulated to be a lectin (Lugtenberg et al., 1991). Elmerich et al. (1985) reported that by using restriction enzymes and Southern hybridization between nod genes of R. meliloti as probe with DNA of Azospirillum strains there were between nod genes with DNA of Azospirillum (Table 1.4.). homology Nodulation genes in associative Klebsiella strains are not known but Sakuranetin which is a flavanoid rice phytoalexin rice released (5.4'-dihydroxy-7-methoxyflavanone) shown in Figure 1.3. (Kodama et al., 1992). Its structure is similar to plant signal in legume, but Sakuranetin is an antifungal substance isolated from ultraviolet irradiated rice leaves, not known to be produced by root. In general flavonoids with one HO group at the 4' position inhibit IAA-induced by stimulating IAA oxidase whilst flavonoids with HO group growth at the 3' and 4' position stimulate it by inhibits IAA oxidase (Goodwin and Mercer, 1972). Sakuranetin has one OH group at the 4' position indicated inhibit IAA-induced growth by stimulating IAA oxidase.

Table 1.4. Size of DNA fragments of Azospirillum and Rhizobium meliloti 2011 which show homology when probed with common nod genes (nodABC D), and host specific nod genes (nod EFGH) after digestion with EcoRI, SalI and BglII (Elmerich et al., 1985)

Bacterial strains	common nod genes			host specific nod gene		
	EcoRI	SalI	BglII	EcoRI	SalI	BglII
Azospirillum brasilense						
Sp7	7.2	3.3	nt.	12	6.8	nt.
				10	4.0	
				1.8	2.4	
RO7	7.2	3.3	14.0	17	2.9	6.4
				10	2.6	
	26			1.8	2.4	
Azospirillum lipoferum		100				
Br17	- ·	3.4	nt.	10	6.7	3.7
				2	3.0	
					2.1	

Table 1.4. (continued)

Bacterial strains	common <i>nod</i> genes			host specific nod genes		
	EcoRI	SalI	Bg l I I	EcoRI	SalI	<i>Bgl</i> II
Azospirillum lipofe <mark>r</mark> um						
S28	12	3.8		17	6.7	9.0
				10	3.0	
					2.1	
Rhizobium meliloti	8.5	6.5	4.8	1.4	4.6	7.3
2011			3.7		1.7	
			2.3			

<sup>\* -:</sup> not detected, nt.: not tested, sizes are indicated in kb.

### 1.7. Objective of this thesis

Since the basic knowledge on the organization of nod genes in associative Klebsiella strains are not known and these information are important for genetic improvement for better application of  $N_e$ -fixing bacteria as biofertilizer in rice, the aim of this thesis is to use Restriction Fragment Length Polymorphism (RFLP) analysis to study common nod genes and nif structural genes by using nod ABC D probes from R. meliloti 1021 and nifHDK probes from free-living Klebsiella pneumoniae M5a1 to localize nod genes and nif genes of  $N_e$ -fixing bacteria by comparing their RFLPs.

It is hoped that the accumulative data obtained from the comparative study of  $N_e$ -fixing bacteria will provide valuable insights into nodulation genes, nitrogen fixation genes organization, homology and regulation, so that when they were applied back into the paddies, nitrogen fixing efficiency in those areas should be promoted.